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Docket No. AEOMICA\_X\_1

Applicant Penn et al.

Serial No. 09/864,761 Filed May 23, 2001

*Receipt is hereby acknowledged of the*  
Transmittal Letter in Duplicate; Information Disclosure  
Statement; Form PTO-1449 in Duplicate; Three Hundred and  
Fifty Three (353) References

Dated November 30, 2001

*Filed in connection with the above case.*

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AND TRADEMARKS

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Docket No. AEOMICA X 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Penn et al.

Application No.: 09/864,761 Confirmation No.: 6802

Filed : May 23, 2001

For : HUMAN GENOME-DERIVED SINGLE EXON NUCLEIC  
ACID PROBES USEFUL FOR GENE EXPRESSION  
ANALYSIS

Group Art Unit : 1645

Hon. Commissioner  
for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR  
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure  
Statement in the above-identified application. This  
Statement is submitted:

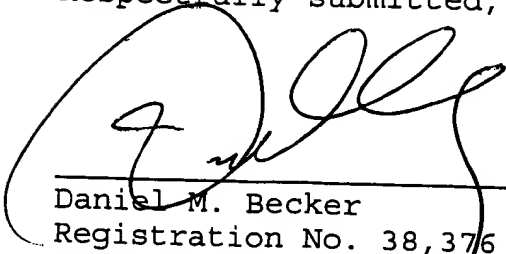
- ☐ within three months of the application filing  
date;
- ☒ more than three months from the application  
filing date but before the mailing date of  
the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of  
this Statement requires no fee. However, if for any reason  
a fee is due, the Director is hereby authorized to charge  
payment of any fees required in connection with this  
Information Disclosure Statement to Deposit Account  
No. 06-1075. A duplicate copy of this letter is transmitted  
herewith.

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Respectfully submitted,

A large, stylized handwritten signature in black ink, appearing to read 'D. M. Becker', is written over a horizontal line.

Daniel M. Becker  
Registration No. 38,376  
Attorney for Applicants

FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (650) 617-4000

PATENTS  
AEOMICA\_X  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Penn et al.  
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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98,  
applicants hereby make the following documents of record in  
the above identified application: \*

U.S. Patents

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\* Applicants reserve the right to challenge the status of any  
of the cited documents as prior art.

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Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

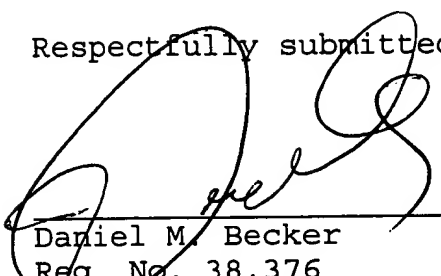
It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of Form PTO-1449 (submitted in duplicate herewith), as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully  
requested.

Respectfully submitted,

29 Nov

2001



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Daniel M. Becker  
Reg. No. 38,376  
Attorney for Applicants

FISH & NEAVE  
1251 Avenue of the Americas  
New York, N. Y. 10020  
(650) 617-4000

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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EXAMINER

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AEOMICA_X_1	SERIAL NO. 09/864,761
		APPLICANT Penn et al.	
		FILING DATE May 23, 2001	GROUP 1645

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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		APPLICANT Penn tal.	
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	
	Wei <i>et al.</i> , "The <i>NOTCH4</i> Locus is Associated with Susceptibility to Schizophrenia," <i>Nature Genetics</i> vol. 25: pp. 376-377 (2000).
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Penn et al.  
Application No.: 09/864,761 Confirmation No.: 6802  
Filed: : May 23, 2001  
For: : HUMAN GENOME-DERIVED SINGLE EXON NUCLEIC  
ACID PROBES USEFUL FOR GENE EXPRESSION  
ANALYSIS  
Group Art Unit : 1634  
Examiner : Jeanine Anne Goldberg

Hon. Commissioner  
for Patents  
c/o P.O. Box 2327  
Arlington, VA 22202

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98,  
applicants hereby make the following documents of record in  
the above identified application: \*

U.S. Patents

6,355,423	03/2001	Rothberg et al.
6,329,140	12/2001	Lockhart et al.
6,303,301	10/2001	Mack
6,251,590	06/2001	Schweighoffer et al.
5,955,272	09/1999	Lawrence et al.
2002/0029113	03/2002	Wang et al.

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\* Applicants reserve the right to challenge the status of any  
of the cited documents as prior art.

Foreign Patents

WO 01/81632	11/2001	WIPO
EP 0 791 660	08/1997	EP

Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.


It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of Form PTO-1449 (submitted in duplicate herewith), as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,

I hereby Certify that this  
correspondence is being  
submitted with the U.S.  
Patent Service as First  
Class Mail in an Envelope  
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U.S. Commissioner for Patents,  
Washington, D.C. 20231 on:

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Date of Deposit  
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8/19/02

  
Daniel M. Becker  
Reg. No. 38,376  
Attorney for Applicants

FISH & NEAVE  
1251 Avenue of the Americas  
New York, N. Y. 10020  
(650) 617-4000

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